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# 4

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	<b>Attorney Docket Number</b>	P03965US1
	<b>First Named Inventor</b>	RISTIC, Zoran
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09 / 810,764
	<b>Filing Date</b>	March 16, 2001
	<b>Group Art Unit</b>	
<input type="checkbox"/> Declaration Submitted with Initial Filing	<b>OR</b>	<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
<b>Examiner Name</b>		

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**MAIZE CHLOROPLAST PROTEIN SYNTHESIS ELONGATION FACTORS AND METHODS OF USE FOR SAME**

(Title of the Invention)

the specification of which

☐ is attached hereto

**OR**

☒ was filed on (MM/DD/YYYY) **March 16, 2001** as United States Application Number or PCT International (if applicable).

Application Number **09/810,764** and was amended on (MM/DD/YYYY) \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/190/175	03/17/2000
60/203,204	05/11/2000

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

page 1 of 7

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label **27142** OR ☐ Correspondence address below

Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name

Zoran

Family Name or Surname

Ristic

(first and middle (if any))

Inventor's Signature

Date

Residence: City

Vermillion

State SD

Country USA

Citizenship Yugoslavia

Mailing Address

Churchill-Haines, Room 191, Department of Biology

Mailing Address

University of South Dakota

City

Vermillion

State

South Dakota

ZIP

57069

Country

USA

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

Shailendra K.

Family Name or Surname

Bhadula

(first and middle (if any))

Inventor's Signature

Date

Residence: City

Gurnee

State

IL

Country USA

Citizenship India

Mailing Address

6949 Morgan Court

Mailing Address

City

Gurnee

State

Illinois

ZIP

60031

Country

USA

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → ☒

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☐ A petition has been filed for this unsigned inventor

NAME OF SOLE OR FIRST INVENTOR:

Given Name

Zoran

Family Name  
or Surname

Ristic

(first and middle (if any))

Inventor's  
Signature

Date

Residence: City

Vermillion

State

SD

Country

USA

Citizenship

Yugoslavia

Mailing Address

Churchill-Haines, Room 191, Department of Biology

Mailing Address

University of South Dakota

City

Vermillion

State

South Dakota

ZIP

57069

Country

USA

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name  
(first and middle (if any))

Shailendra K.

Family Name  
or Surname

Bhadula

Inventor's  
Signature

Date

10/19/01

Residence: City

Gurnee

State

IL

Country

USA

Citizenship

India

Mailing Address

6949 Morgan Court

Mailing Address

Gurnee

State

Illinois

ZIP

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Country

USA

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PTO/SB/02A (11-00)

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S) Supplemental Sheet</b>
--------------------	--

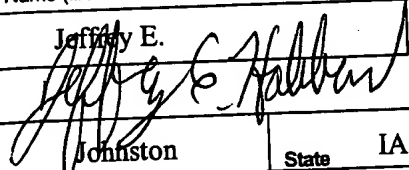
<b>Name of Additional Joint Inventor, if any:</b>		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Genping		Yang	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Vermillion	SD	USA	China
Mailing Address			
Department of Biology			
Mailing Address			
University of South Dakota			
City	State	ZIP	Country
Vermillion	SD	57069	USA
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Thomas E.		Elthon	
Inventor's Signature		Date	
Lincoln		10/17/01	
Residence: City	State	Country	Citizenship
Lincoln	NE	USA	USA
Mailing Address			
E249 Beadle Center, School of Biological Sciences			
Mailing Address			
University of Nebraska			
City	State	ZIP	Country
Lincoln	NE	68588	USA
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Jeffrey E.		Habben	
Inventor's Signature		Date	
Johnston		USA	
Residence: City	State	Country	Citizenship
Johnston	IA	USA	USA
Mailing Address			
Pioneer Hi-Bred International, Inc.			
Mailing Address			
7250 NW 62nd Avenue			
City	State	ZIP	Country
Johnston	IA	50131	USA

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → +

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S) Supplemental Sheet</b>
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<b>Name of Additional Joint Inventor, if any:</b>				<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Genping			Yang		
Inventor's Signature				Date	
Residence: City	Vermillion	State	SD	Country	USA
Mailing Address Department of Biology					
Mailing Address University of South Dakota					
City	Vermillion	State	SD	ZIP	57069
				Country	USA
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Thomas E.			Elthon		
Inventor's Signature				Date	
Residence: City	Lincoln	State	NE	Country	USA
Mailing Address E249 Beadle Center, School of Biological Sciences					
Mailing Address University of Nebraska					
City	Lincoln	State	NE	ZIP	68588
				Country	USA
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Jeffrey E.			Habben		
Inventor's Signature				Date	
				10/19/01	
Residence: City	Johnston	State	IA	Country	USA
Mailing Address Pioneer Hi-Bred International, Inc.					
Mailing Address 7250 NW 62nd Avenue					
City	Johnston	State	IA	ZIP	50131
				Country	USA

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#4

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	<input type="checkbox"/> Declaration Submitted with Initial Filing	<b>OR</b>	<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
	<b>Attorney Docket Number</b> P03965US1		
	<b>First Named Inventor</b> Ristic, Zoran		
	<b>COMPLETE IF KNOWN</b>		
	<b>Application Number</b> 09 / 810,764		<b>Filing Date</b> March 16, 2001
	<b>Art Unit</b> 1646		<b>Examiner Name</b> Flanagan, Beverly M.

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MAIZE CHLOROPLAST PROTEIN SYNTHESIS ELONGATION FACTORS AND METHODS OF USE FOR SAME

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

03/16/2001

as United States Application Number or PCT International

Application Number

09/810,764

and was amended on (MM/DD/YYYY)

(if applicable).

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I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
60/190,175 60/203,204	USA	03/17/2000 05/11/2000	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

# DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number 27142 OR ☐ Correspondence address below

Name ZORAN Ristic

Address DEPARTMENT OF BIOLOGY, UNIVERSITY OF SOUTH DAKOTA

City VERMILLION State SD ZIP 57069

Country USA Telephone 605 677 6170 Fax 605 677 6557

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any)) Zoran Family Name or Surname Ristic

Inventor's Signature Zoran Ristic Date 05/15/02

Residence: City Vermillion State SD Country USA Citizenship USA

Mailing Address Churchill-Haines, Room 191, Department of Biology, University of South Dakota

City Vermillion State SD ZIP 57069 Country USA

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any)) Shallendra K. Family Name or Surname Bhadula

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence: City Gurnee State Illinois Country USA Citizenship USA

Mailing Address 6949 Morgan Court

City Gurnee State Illinois ZIP 60031 Country USA

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/>		Customer Number or Bar Code Label		27142		OR <input type="checkbox"/> Correspondence address below	
Name							
Address							
City				State		ZIP	
Country			Telephone			Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR :				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Zoran				Ristic			
Inventor's Signature				Date			
Residence: City		Vermillion		State		SD	
Country		USA		Citizenship		Yugoslavia	
Mailing Address Churchill-Haines, Room 191, Department of Biology, University of South Dakota							
City		Vermillion		State		SD	
ZIP		57069		Country		USA	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Shallendra K.				Bhadula			
Inventor's Signature				Date			
<i>Shallendra K. Bhadula</i>				5/15/02			
Residence: City		Gurnee		State		Illinois	
Country		USA		Citizenship		USA	
Mailing Address 6949 Morgan Court							
City		Gurnee		State		Illinois	
ZIP		60031		Country		USA	
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.							



Please type a plus sign (+) inside this box → ☐

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b>
	Supplemental Sheet Page <u>3</u> of <u>3</u>

Name of Additional Joint Inventor, if any:		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Genping		Yang	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
Vermillion	SD	USA	China
Mailing Address			
302 Prospect Street			
Mailing Address			
City	State	ZIP	Country
Vermillion	SD	57069	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Thomas E.		Elthon	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
Lincoln	NE	USA	USA
Mailing Address			
E249 Beadle Center, School of Biological Sciences			
Mailing Address			
University of Nebraska			
City	State	ZIP	Country
Lincoln	NE	68588	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jeffrey E.		Habben	
Inventor's Signature	Date		
Residence: City	State	Country	Citizenship
Johnston	IA	USA	USA
Mailing Address			
Pioneer Hi-Bred International, Inc.			
Mailing Address			
7250 NW 62nd Avenue			
City	State	ZIP	Country
Johnston	IA	50131	USA

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1

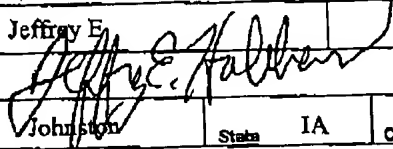
PTO/SB/02A (11-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet Page <u>3</u> of <u>3</u>
--------------------	--

Name of Additional Joint Inventor, if any:		<input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Genping		Yang	
Inventor's Signature		Date	
Residence: City	Vermillion	State	SD
		Country	USA
Citizenship			
China			
Mailing Address			
302 Prospect Street			
Mailing Address			
City	Vermillion	State	SD
		ZIP	57069
		Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Thomas E.		Elthon	
Inventor's Signature		Date	
Residence: City	Lincoln	State	NE
		Country	USA
Citizenship			
USA			
Mailing Address			
E249 Beadle Center, School of Biological Sciences			
Mailing Address			
University of nebraska			
City	Lincoln	State	NE
		ZIP	68588
		Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jeffrey E.		Habbco	
Inventor's Signature		Date	
		05/16/02	
Residence: City	Johnston	State	IA
		Country	USA
Citizenship			
USA			
Mailing Address			
Pioneer III-Bred International, Inc.			
Mailing Address			
7250 NW 62nd Avenue			
City	Johnston	State	IA
		ZIP	50131
		Country	USA

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RISTIC, et al.  
SERIAL NO : 09/810,764  
FILED : March 16, 2001  
TITLE : MAIZE CHLOROPLAST PROTEIN SYNTHESIS ELONGATION  
FACTORS AND METHODS OF USE FOR SAME

Docket No. : P03965US1

DECLARATION FOR SIGNATURE BY JOINT INVENTORS ON BEHALF OF  
NONSIGNING INVENTOR WHO CANNOT BE REACHED (37 CFR 1.47(a))

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I am an above named joint inventor and have signed this declaration on my own  
behalf and also sign this declaration under 37 CFR 1.47(a) on behalf of the nonsigning joint  
inventor, particulars for whom are:

Full name of nonsigning inventor who cannot be found or reached: Genping Yang

Country of Citizenship of nonsigning inventor: China

Last known address of nonsigning inventor: Department of Biology  
University of South Dakota  
Vermillion, South Dakota 57069

Zoran Ristic

Z Ristic

Date

10/17/01

RECEIVED

JAN 16 2002

OFFICE OF PETITIONS



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RISTIC, et al.  
SERIAL NO : 09/810,764  
FILED : March 16, 2001  
TITLE : MAIZE CHLOROPLAST PROTEIN SYNTHESIS ELONGATION  
FACTORS AND METHODS OF USE FOR SAME

Docket No. : P03965US1

DECLARATION FOR SIGNATURE BY JOINT INVENTORS ON BEHALF OF  
NONSIGNING INVENTOR WHO CANNOT BE REACHED (37 CFR 1.47(a))

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I am an above named joint inventor and have signed this declaration on my own  
behalf and also sign this declaration under 37 CFR 1.47(a) on behalf of the nonsigning joint  
inventor, particulars for whom are:

Full name of nonsigning inventor who cannot be found or reached: Genping Yang

Country of Citizenship of nonsigning inventor: China

Last known address of nonsigning inventor: Department of Biology  
University of South Dakota  
Vermillion, South Dakota 57069

Shailendra K. Bhadula

Date

10/18/01

RECEIVED  
JAN 16 2002  
OFFICE OF PETITIONS



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RISTIC, et al.  
SERIAL NO : 09/810,764  
FILED : March 16, 2001  
TITLE : MAIZE CHLOROPLAST PROTEIN SYNTHESIS ELONGATION  
FACTORS AND METHODS OF USE FOR SAME

Docket No. : P03965US1

**DECLARATION FOR SIGNATURE BY JOINT INVENTORS ON BEHALF OF  
NONSIGNING INVENTOR WHO CANNOT BE REACHED (37 CFR 1.47(a))**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I am an above named joint inventor and have signed this declaration on my own behalf and also sign this declaration under 37 CFR 1.47(a) on behalf of the nonsigning joint inventor, particulars for whom are:

Full name of nonsigning inventor who cannot be found or reached: Genping Yang

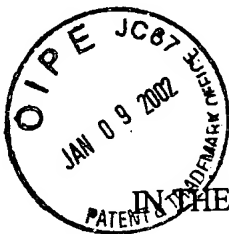
Country of Citizenship of nonsigning inventor: China

Last known address of nonsigning inventor: Department of Biology  
University of South Dakota  
Vermillion, South Dakota 57069

Thomas E. Elthon

Date 10/17/01

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RISTIC, et al.  
SERIAL NO : 09/810,764  
FILED : March 16, 2001  
TITLE : MAIZE CHLOROPLAST PROTEIN SYNTHESIS ELONGATION  
FACTORS AND METHODS OF USE FOR SAME

Docket No. : P03965US1

**DECLARATION FOR SIGNATURE BY JOINT INVENTORS ON BEHALF OF  
NONSIGNING INVENTOR WHO CANNOT BE REACHED (37 CFR 1.47(a))**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I am an above named joint inventor and have signed this declaration on my own  
behalf and also sign this declaration under 37 CFR 1.47(a) on behalf of the nonsigning joint  
inventor, particulars for whom are:

Full name of nonsigning inventor who cannot be found or reached: Genping Yang

Country of Citizenship of nonsigning inventor: China

Last known address of nonsigning inventor: Department of Biology  
University of South Dakota  
Vermillion, South Dakota 57069

Jeffrey E. Habben

Date

10/19/01